

# Friendly Corecursion in Isabelle/HOL

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# Overview

Part 1: What Is

Part 2: What We Do

# Part 1: What Is

## Running Example: Streams of Numbers

$$\text{Str} = \mathbb{N}^{\mathbb{N}}$$

Use  $x, y, z$  for numbers and  $xs, ys, zs$  for streams (of numbers)

Each stream  $xs$  has the form  $xs_0, xs_1, xs_2, \dots$

Constructor  $\# : \mathbb{N} \times \text{Str} \rightarrow \text{Str}$

Selectors  $\text{hd} : \text{Str} \rightarrow \mathbb{N}, \text{tl} : \text{Str} \rightarrow \text{Str}$

Destructor  $\$ = \langle \text{hd}, \text{tl} \rangle : \text{Str} \rightarrow \mathbb{N} \times \text{Str}$

$\#$  and  $\$$  are mutually inverse bijections.

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$$\begin{array}{ccc}
 \text{Str}^2 & \xrightarrow{\exists! \oplus} & \text{Str} \\
 \downarrow b & & \uparrow \# \\
 \mathbb{N} \times \text{Str}^2 & \xrightarrow{1_{\mathbb{N}} \times \oplus} & \mathbb{N} \times \text{Str}
 \end{array}$$

where  $b = \lambda(xs, ys). (\text{hd}(xs) + \text{hd}(ys), (\text{tl}(xs), \text{tl}(ys)))$   
 is  $\oplus$ 's "blueprint"

## Primitive Corecursion

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$$\odot : \text{Str}^2 \rightarrow \text{Str}$$

$$xs \odot ys = (\text{hd}(xs) \cdot \text{hd}(ys)) \# \\ (xs \odot \text{tl}(ys)) \oplus (\text{tl}(xs) \odot ys)$$



## Corecursion Up To $\oplus$

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$$\begin{array}{ccc} \text{Str}^2 & \xrightarrow{\exists! \oplus} & \text{Str} \\ \downarrow b & & \uparrow \# \\ \mathbb{N} \times (\text{Str}^2)^2 & \xrightarrow{1_{\mathbb{N}} \times (\oplus \circ (\odot \times \odot))} & \mathbb{N} \times \text{Str} \end{array}$$

where  $b = \lambda(xs, ys). (\text{hd}(xs) \cdot \text{hd}(ys),$   
 $((xs, \text{tl}(ys)), (\text{tl}(xs), ys)))$

$f : \text{Str}^3 \rightarrow \text{Str}$

$f(xs, ys, zs) = \text{let } us = \text{tl}(\text{tl}(\text{hd}(xs) \# \text{zip}(zs, \text{tl}(\text{tl}(xs)))))) \text{ in}$   
 $(\text{hd}(us) \cdot \text{hd}(\text{tl}(ys))) \#$   
 $((f(xs, zs, us) \odot us) \oplus (f(xs, xs, ys) \oplus f(us, ys, zs)))$

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 \text{Str}^3 & \xrightarrow{\exists! f} & \text{Str} \\
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 \mathbb{N} \times \text{Expr}_{\oplus, \odot, \text{Str}}(\text{Str}^3) & \xrightarrow{1_{\mathbb{N}} \times \text{eval}_{\oplus, \odot, f}} & \mathbb{N} \times \text{Str}
 \end{array}$$

$\text{Expr}_{\oplus, \odot, \text{Str}}(X)$  formal expressions with  $\oplus, \odot$ , consts  $\text{Str}$ , vars  $X$   
 $\text{eval}_{\oplus, \odot, f} : \text{Expr}_{\oplus, \odot, \text{Str}}(\text{Str}^3) \rightarrow \text{Str}$  evaluator

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$$(\text{Var}(xs, zs, us) \odot \text{Ct}(us)) \oplus (\text{Var}(xs, xs, ys) \oplus \text{Var}(us, ys, zs))$$

## Corecursion Up To $\{\oplus, \odot\}^*$ : Generalization

Given up-to-friendly operators  $\oplus : \text{Str}^2 \rightarrow \text{Str}$ ,  $\odot : \text{Str}^2 \rightarrow \text{Str}$   
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Given  $n$  up-to-friendly operators  $g_i : K_i(\mathbf{J}) \rightarrow \mathbf{J}$  for  $i \in \{1, \dots, n\}$   
 ... can define  $f : \mathbf{A} \rightarrow \mathbf{J}$  by choosing a blueprint  $b$ :

$$\begin{array}{ccc}
 \mathbf{A} & \xrightarrow{\exists! f} & \mathbf{J} \\
 \downarrow b & & \uparrow \# \\
 F(\text{Expr}_{(K_i)_{i, \mathbf{J}}}(\mathbf{A})) & \xrightarrow{F \text{eval}_{(g_i)_{i, f}}} & F(\mathbf{J})
 \end{array}$$

where  $F$  functor,  $\mathbf{J} \xrightarrow{\$} F(\mathbf{J}) \xrightarrow{\#} \mathbf{J}$  its final coalgebra,  $K_i$  functors,  
 $\text{Expr}_{(K_i)_{i, \mathbf{J}}}$  the free monad on  $\text{Constant}_{\mathbf{J}} + \sum_{i=1}^n K_i$ .

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# Up-To-Friendly Operators: Consume $\leq 1$ , Produce $\geq 1$

$$\begin{array}{ccccc}
 & & K_I(J) & \xrightarrow{g_I} & J \\
 & \swarrow^{K_I\langle 1_J, \$ \rangle} & \downarrow b_I & & \uparrow \# \\
 K_I(J \times F(J)) & \xrightarrow{\Lambda_I^J} & F(\text{Expr}(K_I(J))) & \xrightarrow{F \text{ eval}_{(g_i)_i, g_I}} & F(J)
 \end{array}$$

$$K_I(\_ \times F(\_)) \xRightarrow{\exists \Lambda_I} F(\text{Expr}(K_I(\_)))$$

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## Up-To-Friendly Operators: Consume $\leq 1$ , Produce $\geq 1$

$\# : F J \rightarrow J$  is the first up-to-friendly operator:  $K_1 = F$ ,  $g_1 = \#$   
Hence could replace  $F \text{ Expr}$  with  $\text{Expr}$

$F$  is important though, since it acts as a guard. But the guard needs not be at the top: Define  $G\text{Expr} = \text{Expr } F \text{ Expr}$

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$$\begin{array}{ccc} A & \xrightarrow{\exists! f} & J \\ b \downarrow & & \uparrow \# \\ G\text{Expr}(A) & \xrightarrow{\text{geval}_{(g_i)_i, f}} & F(J) \end{array}$$

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## Part 2: What We Do



## What We Do in Isabelle/HOL

For each defined codatatype  $J$ , remember its defining functor  $F$  (s.t.  $J$  is its final coalgebra).

`codatatype stream = Cons (hd : nat) (tl : stream)`

Remember functor  $\text{nat} \times \alpha$

Store friendly operators and their distributive laws – forming the current “corecursion state”. Start with the constructor(s).

Register `Cons` as friendly

Prove up-to-`Cons` corecursion theorem

Functions targeting  $J$  can be defined by the corecursion theorem.

`corec  $\oplus$  : stream  $\rightarrow$  stream  $\rightarrow$  stream`

`where  $xs \oplus ys = \text{Cons} (\text{hd } xs + \text{hd } ys) (\text{tl } xs \oplus \text{tl } ys)$`

Synthesize suitable blueprint and use it to define  $\oplus$

Some functions  $K(J) \rightarrow J$  can be registered as friendly

`friend_of_corec  $\oplus$`

Synthesize distributive law and prove naturality and friendliness

Prove stronger corecursion theorem, factoring in the new friend



## What We Do in Isabelle/HOL: Reasoning

Isabelle keeps track of Bounded Natural Functors (BNFs) –  $k$ -accessible functors that preserve weak pullbacks.

Hence are relators: Every  $R \subseteq A \times B$  lifted to  $\text{Frel}(R) \subseteq F A \times F B$ .

Full abstraction: If  $J \xrightarrow{\$} F(J)$  is  $F$ 's final coalgebra, then equality on  $J$  is the largest  $F$ -bisimulation.



Proof principle: Given  $R \subseteq J \times J$ ,  
if  $\forall a, b. (a, b) \in R \longrightarrow (\$ a, \$ b) \in \text{Frel}(R)$   
then  $\forall a, b. (a, b) \in R \longrightarrow a = b$ .



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Proof principle: Given  $R \subseteq J \times J$ ,

if  $\forall a, b. (a, b) \in R \longrightarrow (\$ a, \$ b) \in \text{Frel}(\text{Cong}_{(g_i)_i}(R))$

then  $\forall a, b. (a, b) \in R \longrightarrow a = b$ .

$\text{Cong}_{(g_i)_i}(X)$  defined (inductively) as the smallest equivalence that includes  $X$  and is friend-compatible:

$(k, k') \in \text{Krel}_I(\text{Cong}_{(g_i)_i}(X)) \longrightarrow (g_I(k), g_I(k')) \in \text{Cong}_{(g_i)_i}(X)$





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Coinduction principle updated together with the corecursion state.



## Things We Don't Do in Isabelle/HOL

We don't expose the regular users to the underlying category theory. **Why?**



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**Question by user on a mailing list:** "I have a coinductive predicate  $P$  and I want to show that " $P\ s$ " holds, where " $s$ " is a corecursively-defined stream ... How do I do something like this?"

**My answer:** [Category-theory based suggestion, comprising three steps.]



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**My answer:** [Category-theory based suggestion, comprising three steps.]

**His follow-up, also making three points:** "I have decided not to look into this any further at the moment, seeing as

1. it gives me a headache,
2. I have working proofs for now and
3. I'm quite eager to continue my formalisation elsewhere."



## Things We Don't Do in Isabelle/HOL

While benefiting from category theory, users are not exposed to it:

Blueprints and distributive laws are inferred automatically and disappear after doing their jobs.

Reasoning infrastructure does not need them either: It works directly with the concrete operators.

## Example

User writes equation, counting on guarded computation intuition:

$$f(xs, ys, zs) = \\ (\text{hd}(xs) \cdot \text{hd}(\text{tl}(ys))) \# \\ ((f(xs, zs, xs) \odot xs) \oplus (f(xs, xs, ys) \oplus f(zs, ys, zs)))$$

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In response, system silently does the following work:

$$\begin{array}{ccc} \text{Str}^3 & \xrightarrow{\exists! f} & \text{Str} \\ \downarrow b & & \uparrow \# \\ \mathbb{N} \times \text{Expr}_{\oplus, \odot, \text{Str}}(\text{Str}^3) & \xrightarrow{1_{\mathbb{N}} \times \text{eval}_{\oplus, \odot, f}} & \mathbb{N} \times \text{Str} \end{array}$$

... and comes back accepting that equation as a definition.

## Some Literature

Rutten 1992. Processes as Terms: Non-Well-Founded Models for Bisimulation

Bartels 2003. Generalised Coinduction

Milius, Moss and Schwencke 2013. Abstract GSOS rules and a modular treatment of recursive definitions

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Abel, 2014. Programming and Reasoning with Infinite Structures Using Copatterns and Sized Types

## In Case People Are Not Too Eager To Go To Lunch...

$f : \mathbb{N} \rightarrow \text{Str}$

$$f(n) = \begin{cases} \text{zeros} & \text{if } n \leq 1 \\ (n \# f(n \text{ DIV } 2)) \odot (n \# f(3 * n + 1)) & \text{if } n > 1 \end{cases}$$



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Blueprint synthesis succeeds:

- Friendly context around the calls

- All calls are guarded

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We don't give up: Try to define a recursive blueprint. Is

$b : \mathbb{N} \rightarrow \text{GExpr}(\mathbb{N})$  is correctly defined?

$$b(n) = \begin{cases} \text{Ct}(\text{zeros}) & \text{if } n \leq 1 \\ b(n \text{ DIV } 2) \boxdot (n \boxminus \text{Var}(3 * n + 1)) & \text{if } n > 1 \end{cases}$$

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It is! By terminating recursion on  $n$ . And the function defined from blueprint  $b$  turns out to satisfy the desired equation for  $f$ .

## Eventual Up-To Corecursion

$$f : \mathbb{N} \rightarrow \text{Str}$$
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It is! By terminating recursion on  $n$ . And the function defined from blueprint  $b$  turns out to satisfy the desired equation for  $f$ .



Corecursion tool synthesizes equation for  $b$

Recursive function package (Krauss et al.) validates this equation

Corecursion tool does the rest

## Summary

Isabelle/HOL is one of the corecursion-friendliest proof assistants.  
It supports:

- Functor-based (co)datatypes

- Incremental, up-to corecursion based on friendly operators

- Corresponding up-to coinduction (for equality)

- Mixed recursion-corecursion