

Lecture 4: Inductive and Coinductive Datatypes

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University of Sheffield

MGS 21

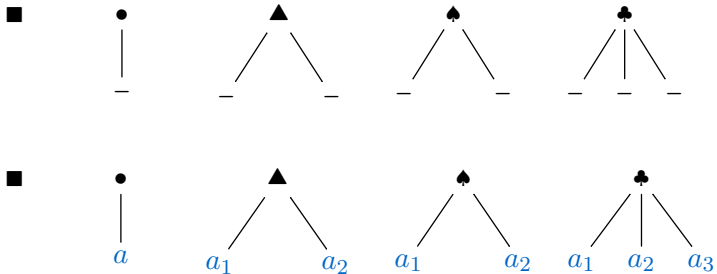
16 April, 2021

Bounded Natural Functors (BNFs)

Shapes



Shapes



Shapes filled with **content** from a set $A = \{a_1, a_2, \dots\}$

Set = the class of all sets

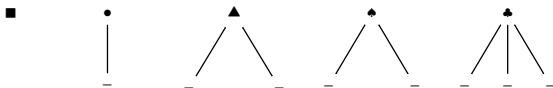
$F : \text{Set} \rightarrow \text{Set}$ is a **natural functor** if:

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It comes with a set of shapes

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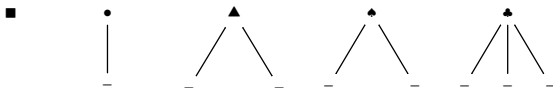


Each element $x \in F A$ consists of:

a choice of a shape

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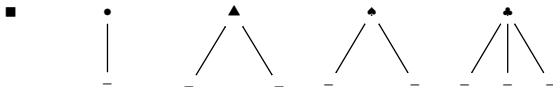
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a filling with content from A

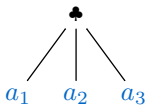
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It comes with a set of shapes, say



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a filling with content from A , say

Examples of Natural Functors

$$F A = \mathbb{N} \times A$$

Examples of Natural Functors

$$F A = \mathbb{N} \times A$$

\bullet_0
|
—

\bullet_1
|
—

\bullet_2
|
—

...

Examples of Natural Functors

$$F A = \mathbb{N} \times A$$

$$\begin{array}{c} \bullet_0 \\ | \\ a \end{array}$$

$$\begin{array}{c} \bullet_1 \\ | \\ a \end{array}$$

$$\begin{array}{c} \bullet_2 \\ | \\ a \end{array}$$

...

Examples of Natural Functors

$$F A = \mathbb{N} \times A$$

$$\begin{array}{c} \bullet_0 \\ | \\ a \end{array}$$

$$\begin{array}{c} \bullet_1 \\ | \\ a \end{array}$$

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...

$$F A = \mathbb{N} + A$$

Examples of Natural Functors

$$F A = \mathbb{N} \times A$$



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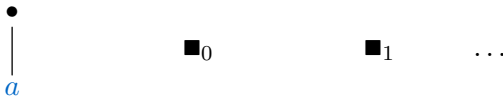
$$F A = \text{List } A$$

Examples of Natural Functors

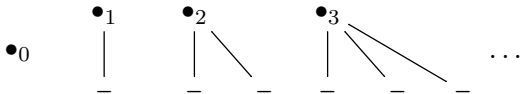
$$F A = \mathbb{N} \times A$$



$$F A = \mathbb{N} + A$$

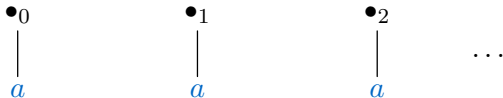


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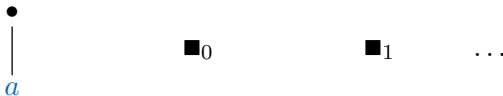


Examples of Natural Functors

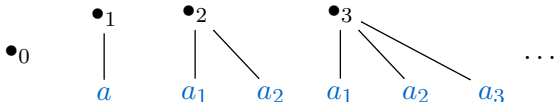
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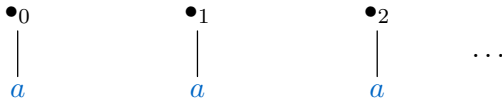


$$F A = \text{List } A$$

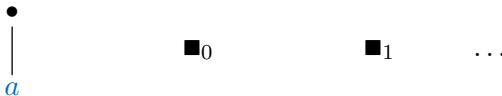


Examples of Natural Functors

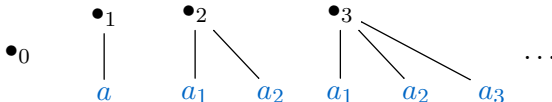
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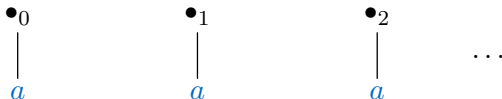
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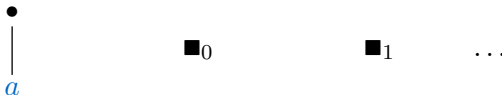
$$F A = \text{Stream } A \quad ?$$

Examples of Natural Functors

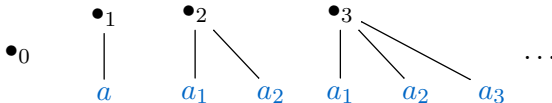
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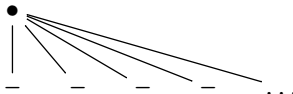
$$F A = \mathbb{N} + A$$



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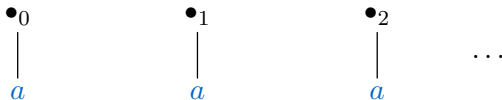


$$F A = \text{Stream } A$$

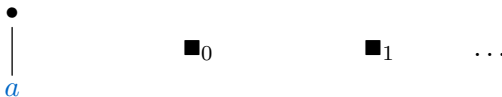


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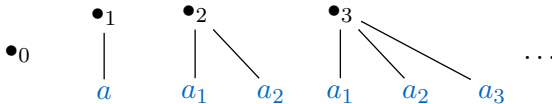
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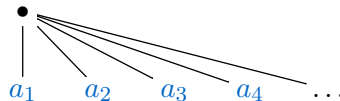
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$$F A = \text{Stream } A$$

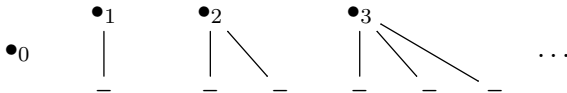


Examples of Natural Functors

$F A = \text{LazyList } A$?

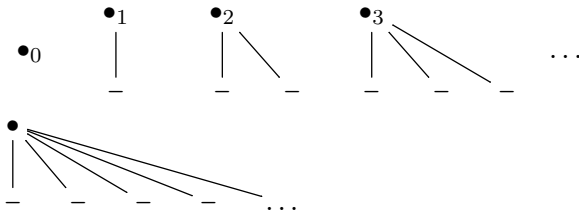
Examples of Natural Functors

$F A = \text{LazyList } A = \text{List } A$



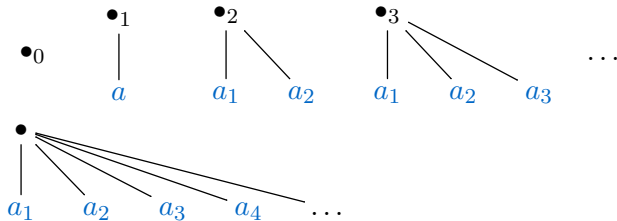
Examples of Natural Functors

$$F A = \text{LazyList } A = \text{List } A \cup \text{Stream } A$$



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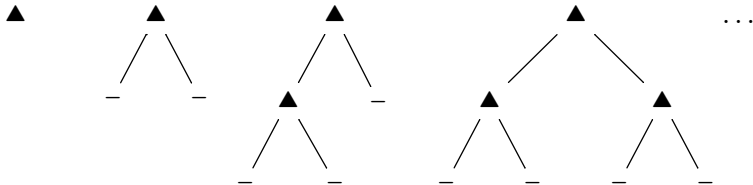


Examples of Natural Functors

$F A = \text{BTree } A$ (Full Binary Trees with leaves in A)

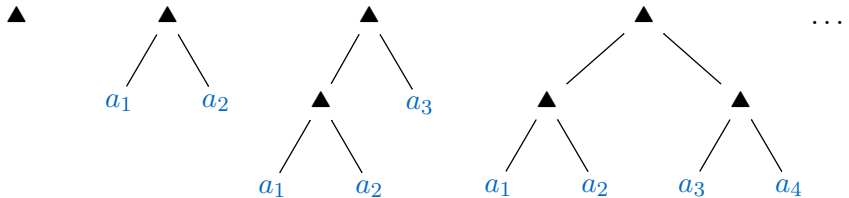
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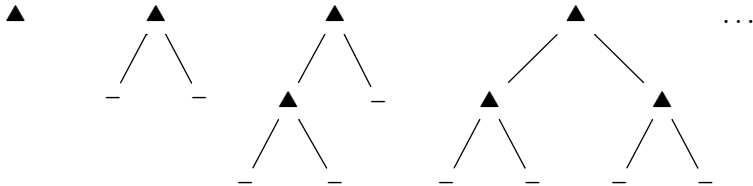
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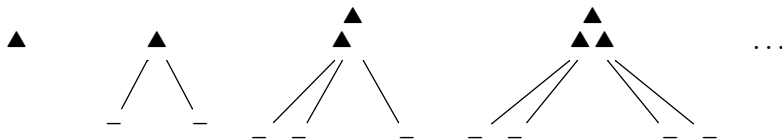
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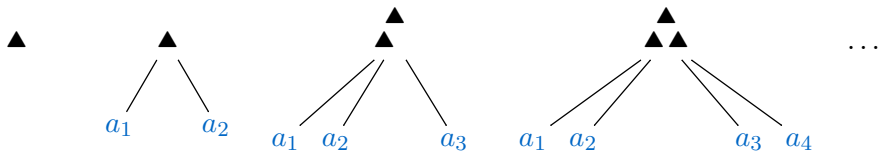
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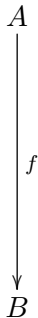


Examples of Natural Functors

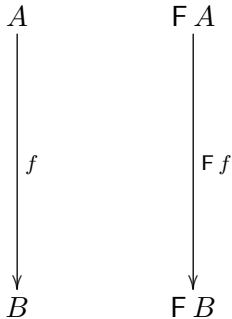
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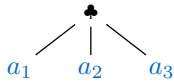
Functorial Action (Mapper)



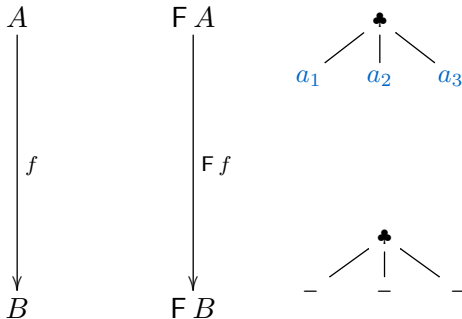
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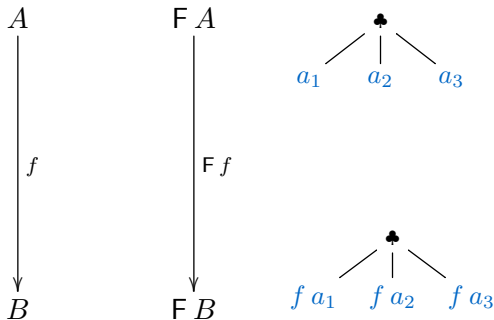
$$\begin{array}{c} A \\ \downarrow f \\ B \end{array}$$
$$\begin{array}{c} F A \\ \downarrow F f \\ F B \end{array}$$


Functorial Action (Mapper)



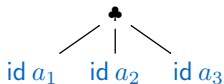
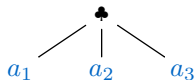
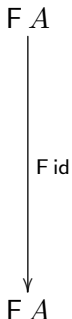
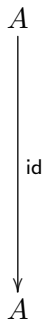
Keep the same shape

Functorial Action (Mapper)

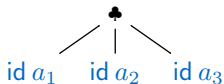
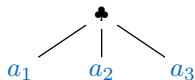
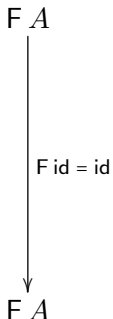
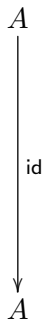


Keep the same shape
Apply f to the content

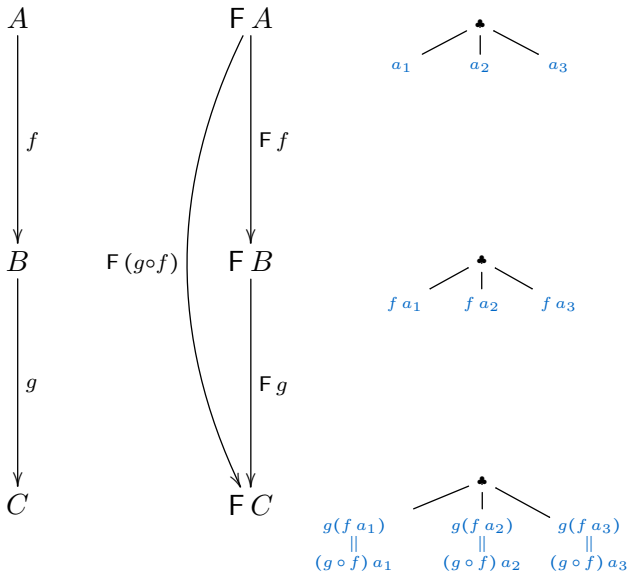
Commutation with the Identity Function



Commutation with the Identity Function



Commutation with Function Composition



$$F : \text{Set} \rightarrow \text{Set}$$

For all $A \xrightarrow{f} B$, we have $F A \xrightarrow{F f} F B$ such that:

$$F \text{id}_A = \text{id}_{F A}$$

$$F (g \circ f) = F g \circ F f$$

$$F : \text{Set} \rightarrow \text{Set}$$

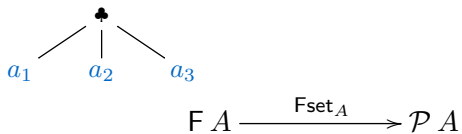
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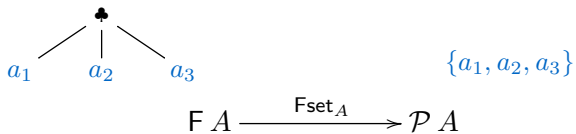
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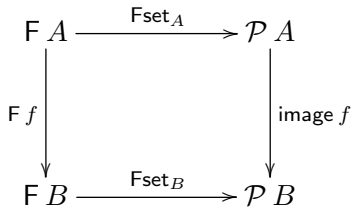
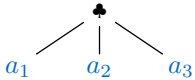
Functoriality

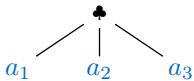
$$\mathsf{F} A \xrightarrow{\mathsf{Fset}_A} \mathcal{P} A$$



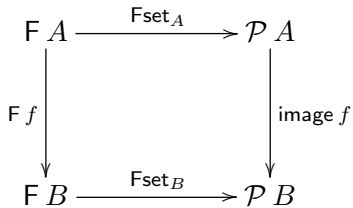


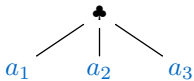
$$\begin{array}{ccc} \mathbf{F} A & \xrightarrow{\mathbf{Fset}_A} & \mathcal{P} A \\ \mathbf{F} f \downarrow & & \downarrow \text{image } f \\ \mathbf{F} B & \xrightarrow{\mathbf{Fset}_B} & \mathcal{P} B \end{array}$$



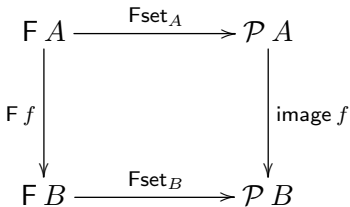


$\{a_1, a_2, a_3\}$

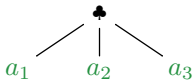




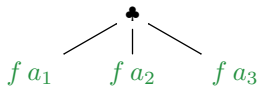
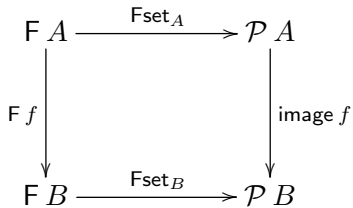
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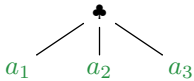
$\{f a_1, f a_2, f a_3\}$



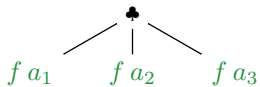
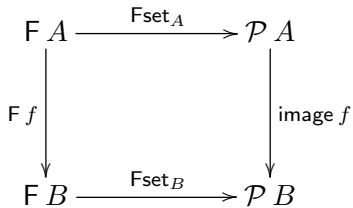
$\{a_1, a_2, a_3\}$



$\{f a_1, f a_2, f a_3\}$



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$$F : \text{Set} \rightarrow \text{Set}$$

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Functoriality

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Functoriality

For all A , we have $F A \xrightarrow{F \text{set}_A} \mathcal{P} A$ such that, for all $A \xrightarrow{f} B$:

$$\text{image } f \circ F \text{set}_A = F \text{set}_B \circ \text{image } f$$

Bottom Line

$$F : \text{Set} \rightarrow \text{Set}$$

For all $A \xrightarrow{f} B$, we have $F A \xrightarrow{F f} F B$ such that:

$$\begin{aligned} F \text{id}_A &= \text{id}_{F A} \\ F (g \circ f) &= F g \circ F f \end{aligned} \quad \text{Functoriality}$$

For all A , we have $F A \xrightarrow{F \text{set}_A} \mathcal{P} A$ such that, for all $A \xrightarrow{f} B$:

$$\text{image } f \circ F \text{set}_A = F \text{set}_B \circ \text{image } f \quad \text{Naturality}$$

$$F : \text{Set} \rightarrow \text{Set}$$

For all $A \xrightarrow{f} B$, we have $F A \xrightarrow{F f} F B$ such that:

$$\begin{aligned} F \text{id}_A &= \text{id}_{F A} \\ F (g \circ f) &= F g \circ F f \end{aligned} \quad \text{Functoriality}$$

For all A , we have $F A \xrightarrow{F \text{set}_A} \mathcal{P} A$ such that, for all $A \xrightarrow{f} B$:

$$\text{image } f \circ F \text{set}_A = F \text{set}_B \circ \text{image } f \quad \text{Naturality}$$

Examples of Natural Functors

$$A \xrightarrow{f} B$$

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$$A \xrightarrow{f} B \qquad \mathbf{F} A \xrightarrow{\mathbf{F} f} \mathbf{F} B$$

Examples of Natural Functors

$$A \xrightarrow{f} B$$

$$F A \xrightarrow{F f} F B$$

$$F A \xrightarrow{F_{\text{set}}} \mathcal{P} A$$

Examples of Natural Functors

$$A \xrightarrow{f} B$$

$$F A \xrightarrow{F f} F B$$

$$F A \xrightarrow{F_{\text{set}}} \mathcal{P} A$$

$$F A = \mathbb{N} \times A$$

Examples of Natural Functors

$$A \xrightarrow{f} B \qquad \mathsf{F} A \xrightarrow{\mathsf{F} f} \mathsf{F} B \qquad \mathsf{F} A \xrightarrow{\mathsf{Fset}} \mathcal{P} A$$

$$\mathsf{F} A = \mathbb{N} \times A \qquad \mathsf{F} f (n, a) = (n, f a)$$

Examples of Natural Functors

$$A \xrightarrow{f} B$$

$$F A \xrightarrow{F f} F B$$

$$F A \xrightarrow{F \text{set}} \mathcal{P} A$$

$$F A = \mathbb{N} \times A$$

$$F f (n, a) = (n, f a)$$

$$F \text{set} (n, a) = \{a\}$$

Examples of Natural Functors

$$A \xrightarrow{f} B$$

$$F A \xrightarrow{F f} F B$$

$$F A \xrightarrow{F \text{set}} \mathcal{P} A$$

$$F A = \mathbb{N} \times A$$

$$F f (n, a) = (n, f a)$$

$$F \text{set} (n, a) = \{a\}$$

$$F A = \mathbb{N} + A$$

Examples of Natural Functors

$$A \xrightarrow{f} B$$

$$F A \xrightarrow{F f} F B$$

$$F A \xrightarrow{F_{\text{set}}} \mathcal{P} A$$

$$F A = \mathbb{N} \times A$$

$$F f (n, a) = (n, f a)$$

$$F_{\text{set}} (n, a) = \{a\}$$

$$F A = \mathbb{N} + A$$

$$F f (\text{Left } n) = \text{Left } n \quad F f (\text{Right } a) = \text{Right } (f a)$$

Examples of Natural Functors

$$A \xrightarrow{f} B$$

$$F A \xrightarrow{F f} F B$$

$$F A \xrightarrow{F_{\text{set}}} \mathcal{P} A$$

$$F A = \mathbb{N} \times A$$

$$F f (n, a) = (n, f a)$$

$$F_{\text{set}} (n, a) = \{a\}$$

$$F A = \mathbb{N} + A$$

$$F f (\text{Left } n) = \text{Left } n$$

$$F_{\text{set}} (\text{Left } n) = \emptyset$$

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Examples of Natural Functors

$$A \xrightarrow{f} B$$

$$F A \xrightarrow{F f} F B$$

$$F A \xrightarrow{F \text{set}} \mathcal{P} A$$

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$$F f (n, a) = (n, f a)$$

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Bounded Natural Functor (BNF)

“Bounded” means the existence of a cardinal k such that $|\text{Fset } x| < k$ (for all A and $x \in \text{F } A$).

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There's a fixed bound on the content storable in elements of $\mathbf{F} A$ (independently of the size of A).

This excludes, e.g., the powerset functor.

Datatypes = Initial Algebras of BNFs

Natural functor $F : \text{Set} \rightarrow \text{Set}$

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The shapes of F :



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Put them together by plugging in shape for content slot

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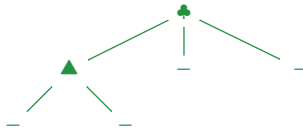


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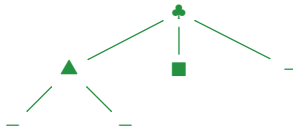


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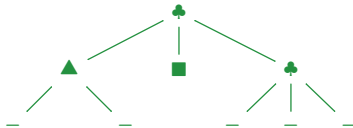
Iterating Shape Composition

Natural functor $F : \text{Set} \rightarrow \text{Set}$

Copies of the shapes of F :



Put them together by plugging in shape for content slot

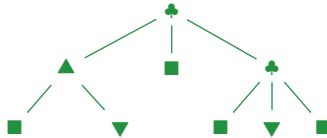


Natural functor $F : \text{Set} \rightarrow \text{Set}$

Copies of the shapes of F :



Put them together by plugging in shape for content slot until there are no lingering slots left!

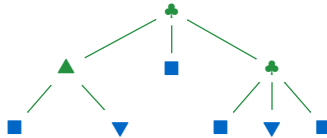


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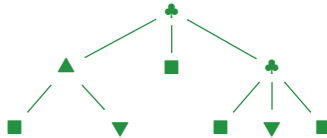
The leaves are always empty-content shapes

Natural functor $F : \text{Set} \rightarrow \text{Set}$

Copies of the shapes of F :

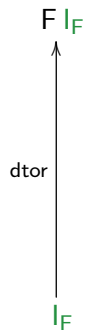
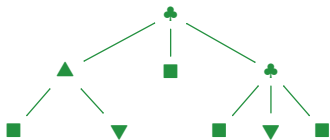


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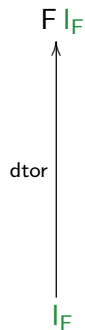
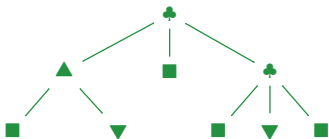
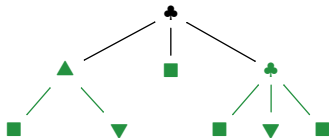


Define $I_F =$ the set of all such finitary couplings

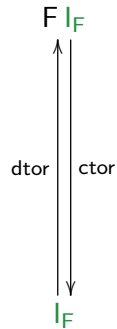
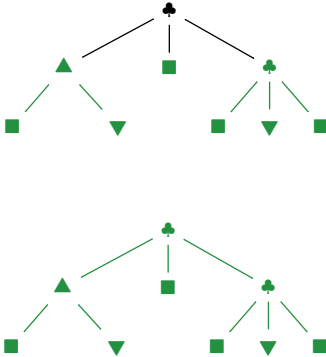
Properties of I_F : Bijectivity



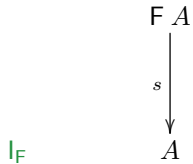
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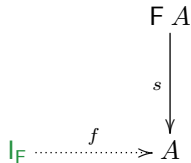


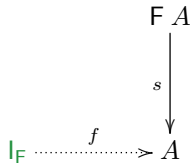
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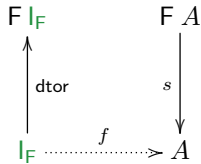
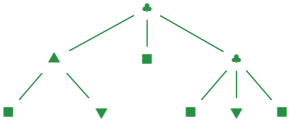


$ctor$ and $dtror$ are mutually inverse bijections

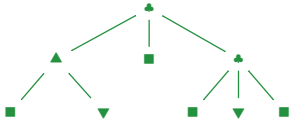
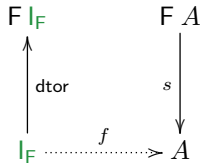
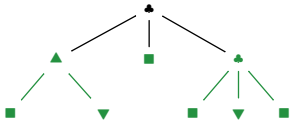




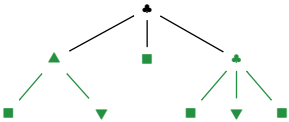




Properties of I_F : Iteration



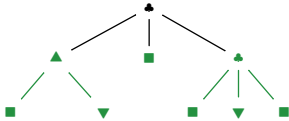
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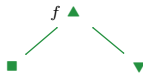
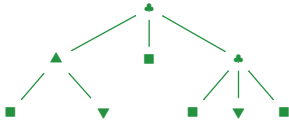
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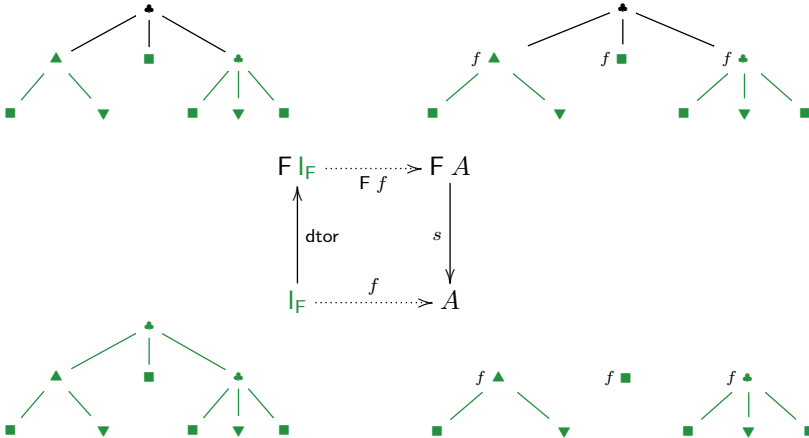
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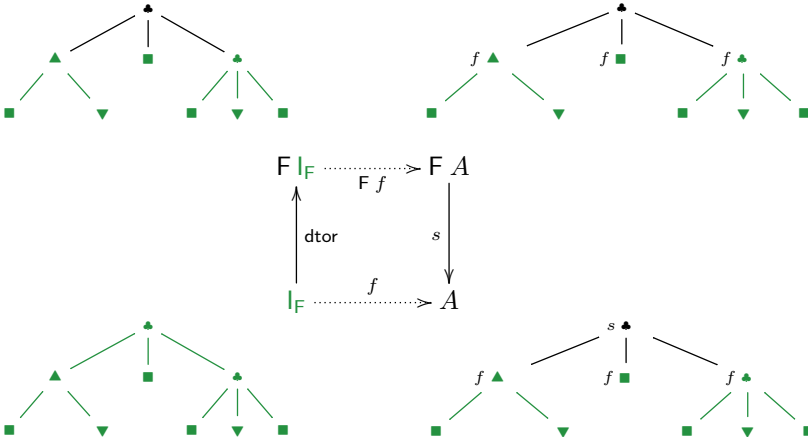
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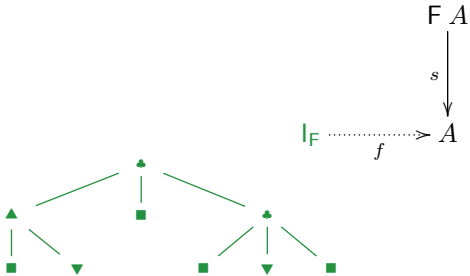


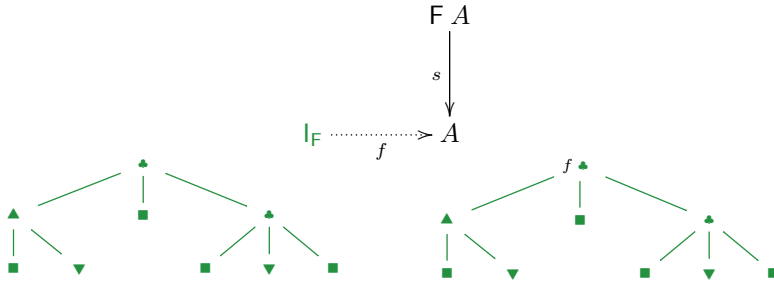
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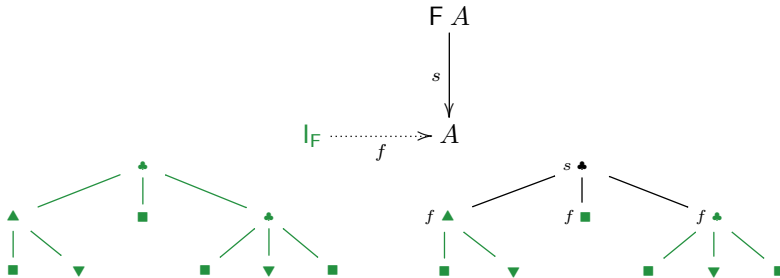
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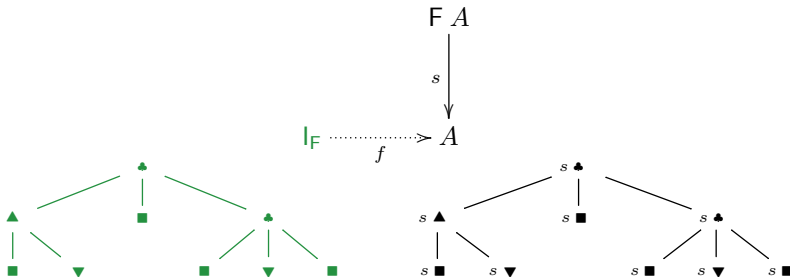




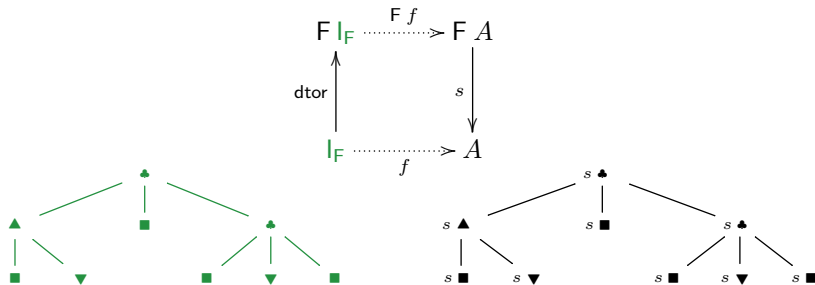
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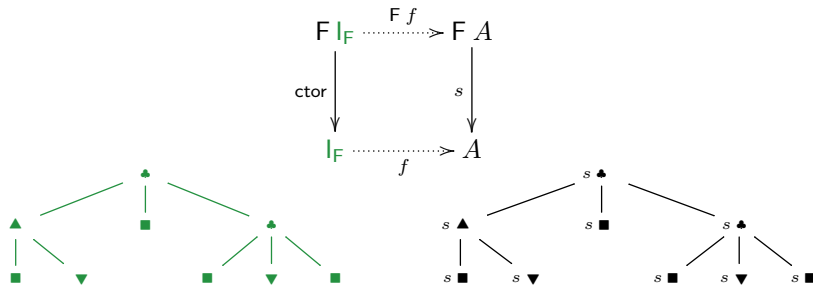
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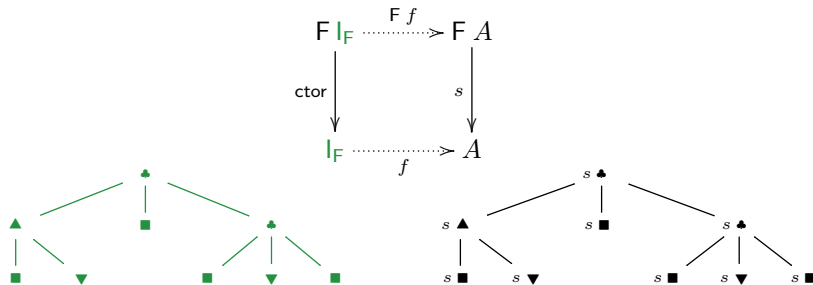


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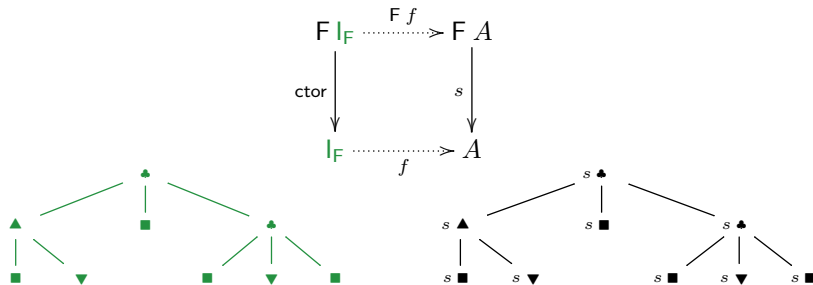


Properties of I_F : Iteration





I_F is the initial F -algebra



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$f = \text{iter}_s$

I_F

I_F

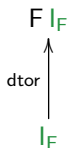
φ unary predicate on I_F

I_F

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Want: If

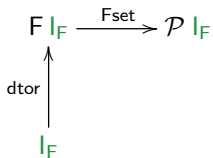
then $\forall i \in I_F. \varphi i$



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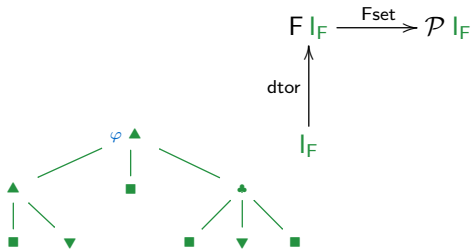
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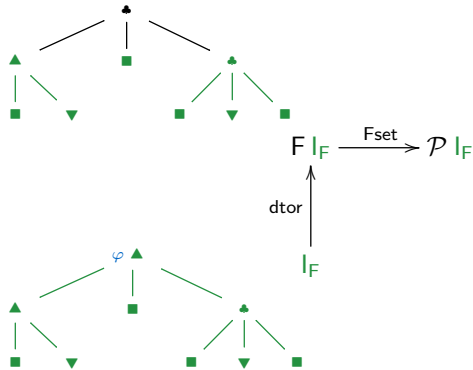
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Properties of I_F : Induction



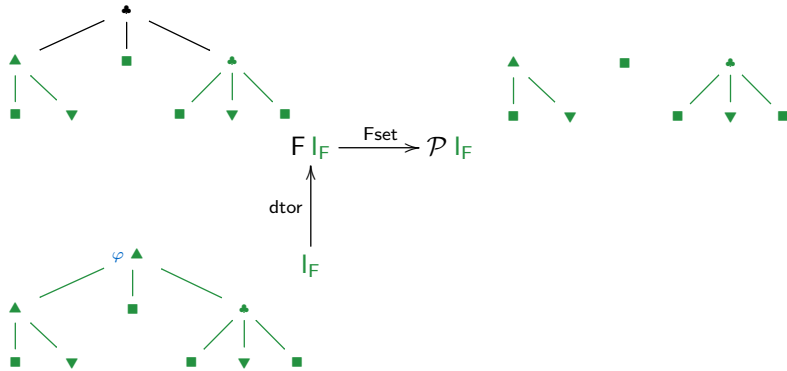
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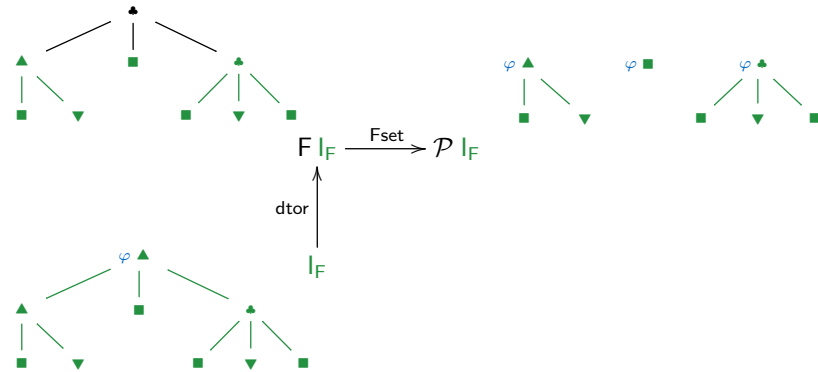
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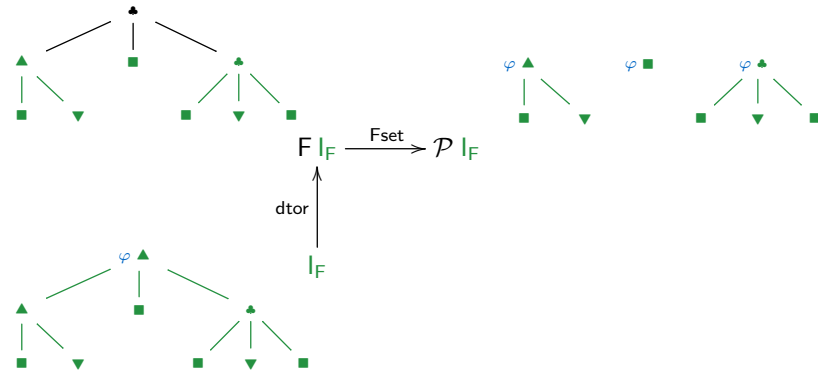


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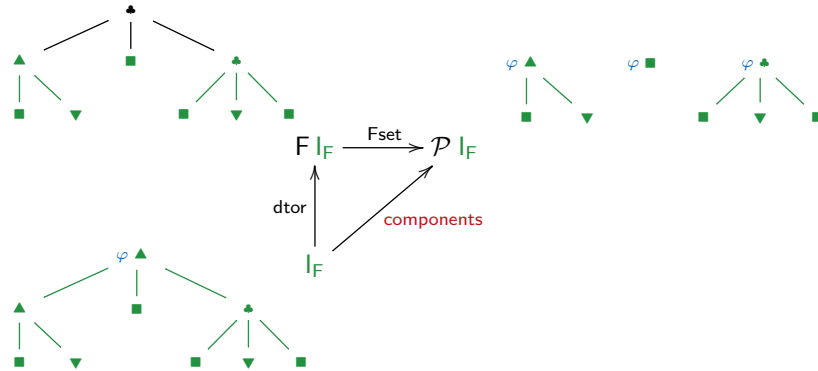


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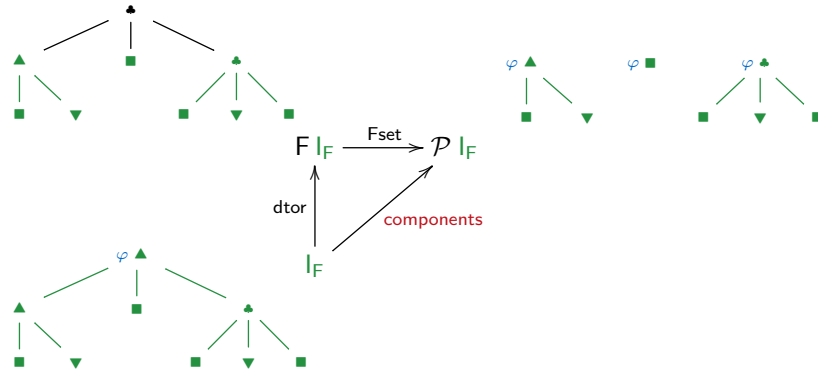


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If $\forall i \in I_F. (\forall i' \in \text{components } i. \varphi i') \implies \varphi i$

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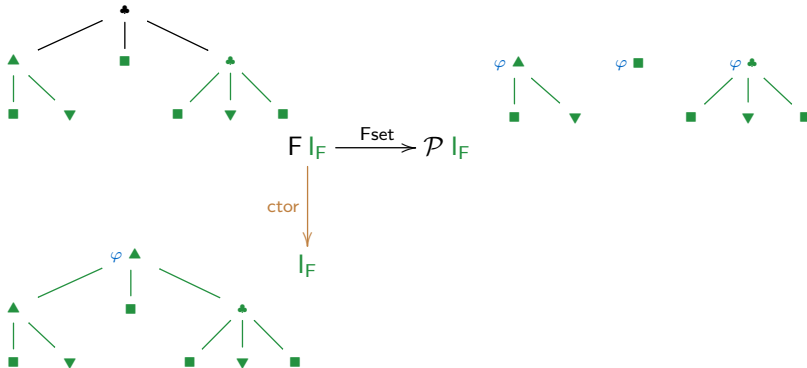
Properties of I_F : Destructor-Style Induction



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Properties of I_F : Constructor-Style Induction

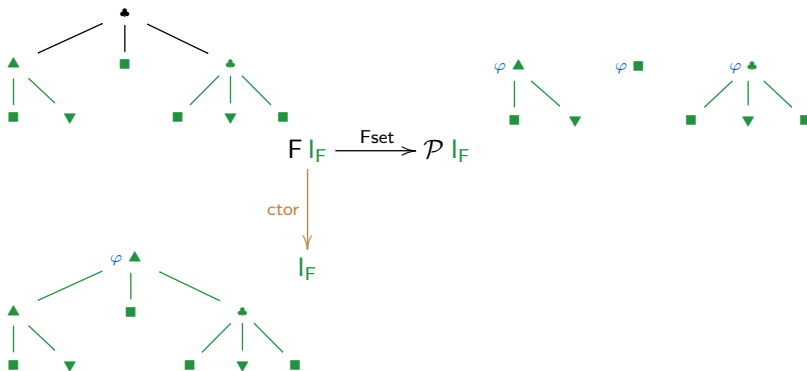


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Properties of I_F : Constructor-Style Induction



φ unary predicate on I_F

If $\forall x \in F \ I_F. (\forall i \in Fset \ x. \ \varphi \ i) \implies \varphi (ctor \ x)$

then $\forall i \in I_F. \ \varphi \ i$

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ctor **bijection**

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Iteration (Initial Algebra Property): For all $(A, s : F A \rightarrow A)$, there exists a unique function iter_s such that

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Induction: Given any predicate φ on I_F

$$\frac{\forall x \in F I_F. (\forall i \in F \text{set } x. \varphi i) \implies \varphi (\text{ctor } x)}{\forall i \in I_F. \varphi i}$$

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$I_F = \text{the datatype of } F$

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Let B be a fixed set. $F A = \{*\} + B \times A$

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The shapes of F : Left $*$

Let B be a fixed set. $F A = \{*\} + B \times A$

The shapes of F : Left $*$ Right $(b, -)$ for each $b \in B$

Example of Datatype

Let B be a fixed set. $F A = \{*\} + B \times A$

The shapes of F : Left $*$ Right $(b, -)$ for each $b \in B$

Or, graphically: \blacksquare_* \bullet_b for each $b \in B$
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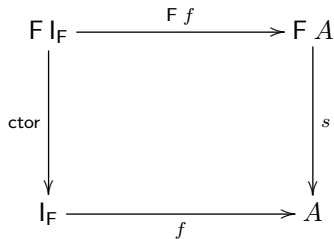
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So $I_F = \text{List}_B$

Example of Datatype: List

B fixed $F A = \{*\} + B \times A$ $f = \text{iter}_s$ $I_F = \text{List}_B$



$$\forall x \in F I_F. f(\text{ctor } x) = s((F f) x)$$

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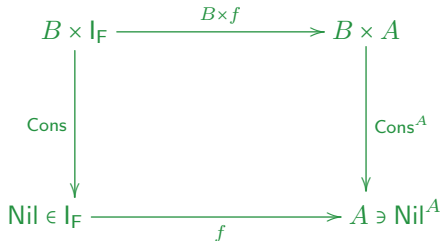
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$$\begin{array}{ccc} B \times I_F & \xrightarrow{B \times f} & B \times A \\ \text{Cons} \downarrow & & \downarrow \text{Cons}^A \\ \text{Nil} \in I_F & \xrightarrow{f} & A \ni \text{Nil}^A \end{array}$$

$$f \text{ Nil} = \text{Nil}^A$$

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We obtain standard list iteration!

$$\forall b \in B, i \in I_F. f(\text{Cons}(b, i)) = \text{Cons}^A(b, f i)$$

Example of Datatype: List

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$$\begin{array}{ccc}
 F I_F & \xrightarrow{\text{Fset}} & \mathcal{P} I_F \\
 \text{ctor} \downarrow & & \\
 I_F & &
 \end{array}$$

$$\frac{\forall x \in F I_F. (\forall i \in \text{Fset } x. \varphi i) \implies \varphi (\text{ctor } x)}{\forall i \in I_F. \varphi i}$$

Example of Datatype: List

B fixed $F A = \{*\} + B \times A$ $I_F = \text{List}_B$

$$\begin{array}{ccc}
 \{*\} + B \times I_F & \xrightarrow{\text{Left } * \mapsto \emptyset, \text{ Right } (b,i) \mapsto \{i\}} & \mathcal{P} I_F \\
 \text{ctor} \downarrow & & \\
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$$\begin{array}{l} (\forall i \in \text{Fset} (\text{Left } *). \varphi i) \implies \varphi (\text{ctor} (\text{Left } *)) \\ \forall b \in B, i \in I_F. (\forall i' \in \text{Fset} (\text{Right}(b, i)). \varphi i') \implies \varphi (\text{ctor} (\text{Right}(b, i))) \\ \hline \forall i \in I_F. \varphi i \end{array}$$

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$(\forall i \in \emptyset. \varphi i) \implies \varphi (\text{ctor} (\text{Left } *))$

$\forall b \in B, i \in I_F. (\forall i' \in \text{Fset} (\text{Right } (b, i)). \varphi i') \implies \varphi (\text{ctor} (\text{Right } (b, i)))$

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Obtain standard list induction!

$\forall b \in B, i \in I_F. \varphi i \implies \varphi (\text{Cons } (b, i))$

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Codatatypes = Final Coalgebras of BNFs

Natural functor $F : \mathbf{Set} \rightarrow \mathbf{Set}$

Iterating Shape Composition Revisited

Natural functor $F : \text{Set} \rightarrow \text{Set}$

The shapes of F :



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Copies of the shapes of F :



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Put them together by plugging in shape for content slot

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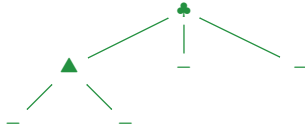
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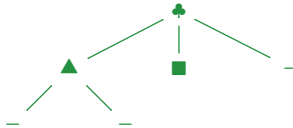
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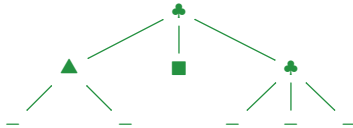
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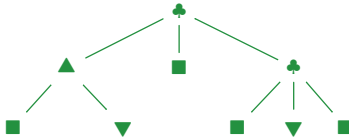
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Put them together by plugging in shape for content slot until there are no lingering slots left!



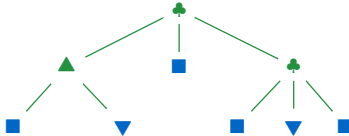
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The leaves are always empty-content shapes

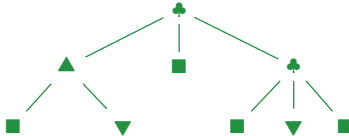
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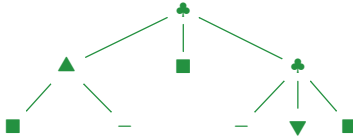
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Allow infinite couplings

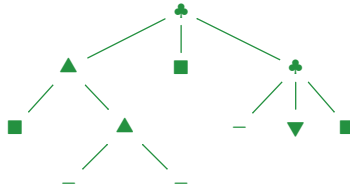
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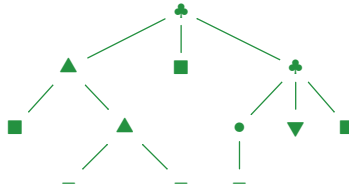
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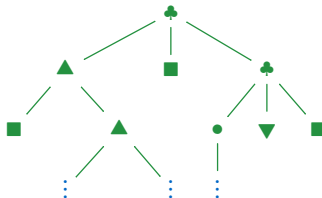
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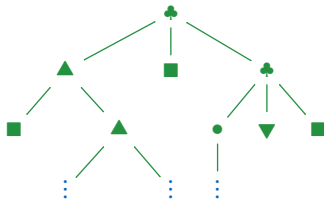
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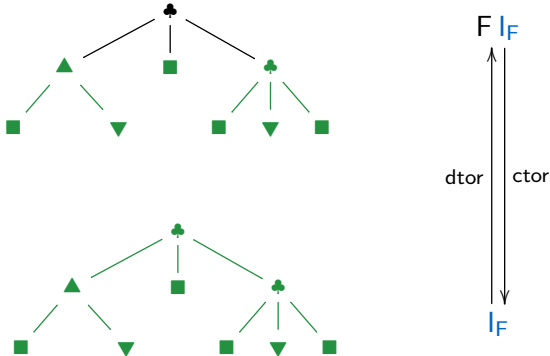


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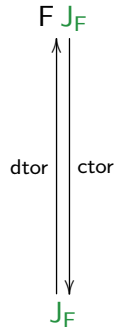
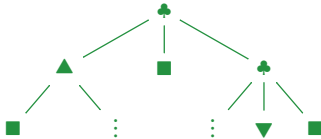
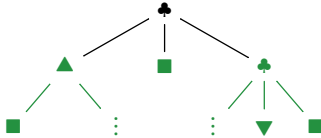
Define J_F = the set of all such (possibly) infinitary couplings

Recall: Properties of I_F : Bijectivity



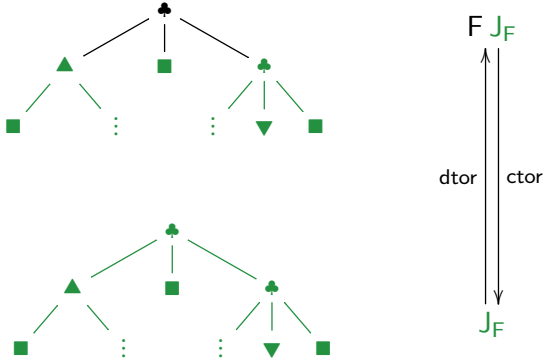
ctor and dctor are mutually inverse bijections

Properties of J_F : Bijectivity



ctor and dctor are mutually inverse bijections

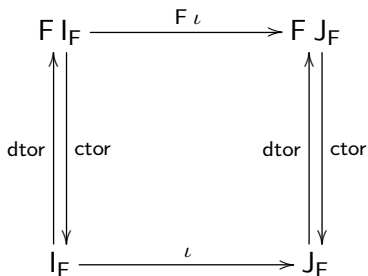
Properties of J_F : Bijectivity



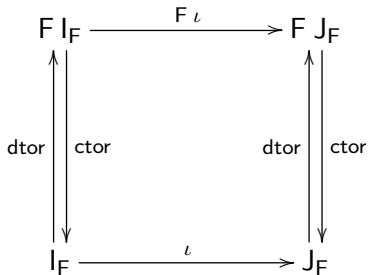
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A similar property holds for J_F , where we use the same notations for constructor and destructor

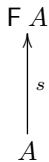
I_F is embedded in J_F



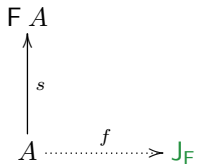
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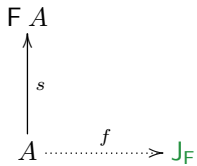


$$\iota = \text{iter}_{\text{ctor}: F J_F \rightarrow F J_F}$$

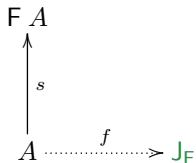
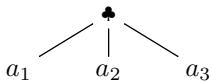


J_F

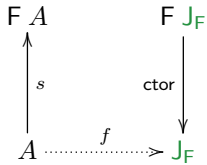
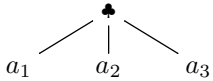




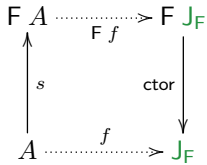
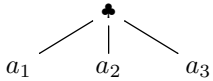
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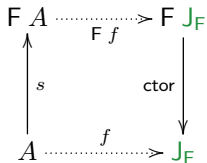
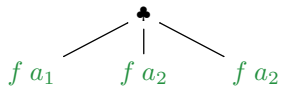
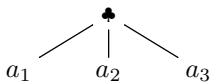
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a

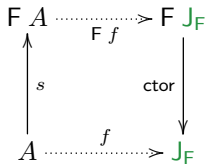
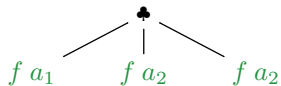
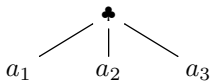


a



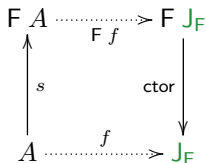
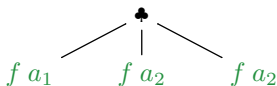
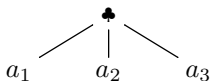
a

Properties of J_F : Coiteration



a

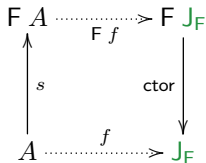
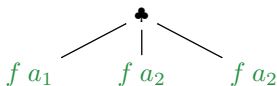
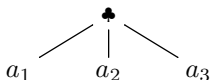




a



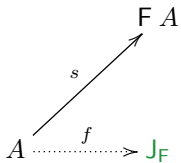
a_1, a_2, a_3 are not “smaller” than a in any sense

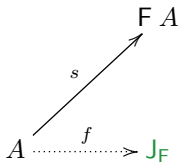


a



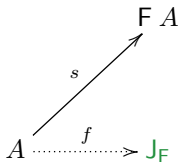
a_1, a_2, a_3 are not “smaller” than a in any sense
 But computation has made **progress**



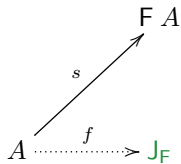
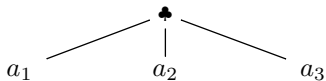


a

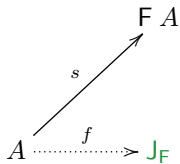
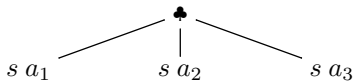
$s \ a$



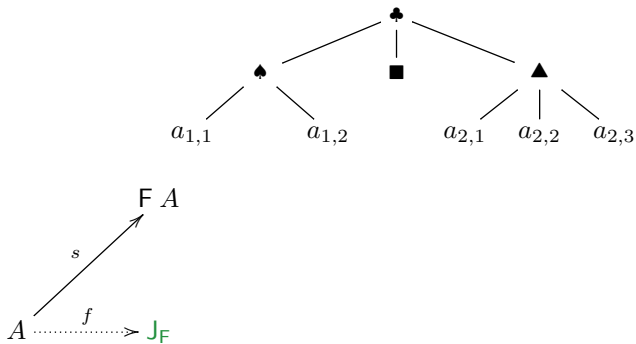
a



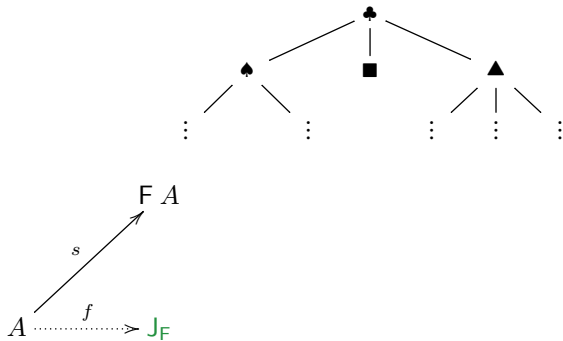
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a

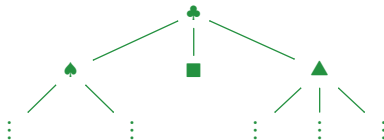
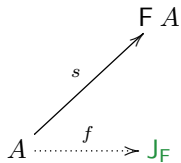
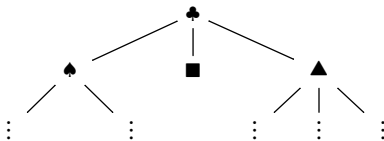


a

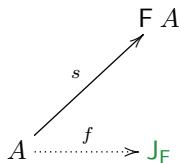
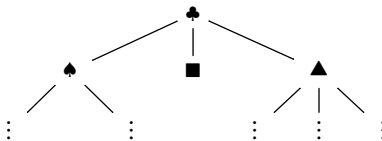


a

Properties of J_F : Coiteration

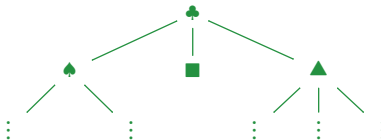


Properties of J_F : Coiteration



$s\ a =$ the seed encoding the growth of the tree $f\ a$

a



Given a natural functor F , $(J_F, \text{dctor} : J_F \rightarrow F J_F)$

Coiteration (Final Coalgebra Property): For all $(A, s : A \rightarrow F A)$, there exists a unique function coiter_s with

$$\begin{array}{ccc}
 F A & \xrightarrow{F \text{coiter}_s} & F J_F \\
 \uparrow s & & \downarrow \text{ctor} \\
 A & \xrightarrow{\text{coiter}_s} & J_F
 \end{array}$$

Given a natural functor F , $(J_F, \text{dtr} : J_F \rightarrow F J_F)$

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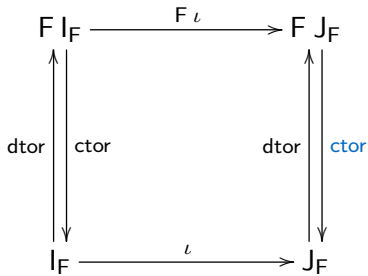
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 \end{array}$$

$J_F = \text{the codatatype of } F$

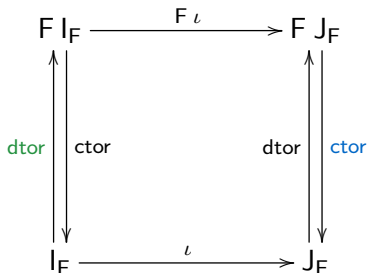
The I_F to J_F embedding revisited



ι can be regarded as defined by
[iteration](#) on I_F

$$\iota = \text{iter}_{\text{ctor}}$$

The I_F to J_F embedding revisited



ι can be regarded as defined by
iteration on I_F but also by **coiteration** on J_F !

$$\iota = \text{iter}_{\text{ctor}} = \text{coiter}_{\text{dctor}}$$

j

j'

j

j'

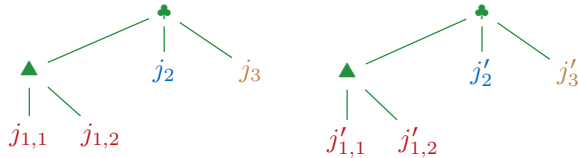
Want: $j = j'$



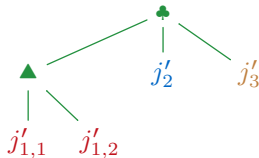
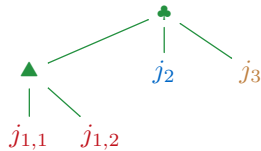
Want: $j = j'$



Suffices: $j_1 = j'_1$
 $j_2 = j'_2$
 $j_3 = j'_3$



Suffices: $j_1 = j'_1$
 $j_2 = j'_2$
 $j_3 = j'_3$



Suffices: $j_{1,1} = j'_{1,1}, j_{1,2} = j'_{1,2}$
 $j_2 = j'_2$
 $j_3 = j'_3$



Suffices: $j_{1,1} = j'_{1,1}, j_{1,2} = j'_{1,2}$
 $j_2 = j'_2$
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If we can stay in the game indefinitely, then equality holds!

Suffices: $j_{1,1} = j'_{1,1}, j_{1,2} = j'_{1,2}$
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If we can stay in the game indefinitely, then equality holds!
 But how to show we can “stay in the game”?

Suffices: $j_{1,1} = j'_{1,1}, j_{1,2} = j'_{1,2}$
 $j_2 = j'_2$
 $j_3 = j'_3$

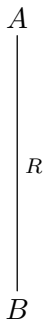


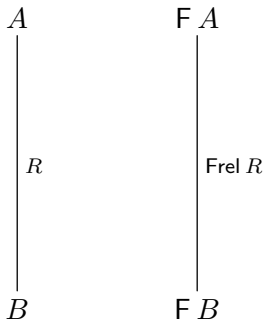
If we can stay in the game indefinitely, then equality holds!

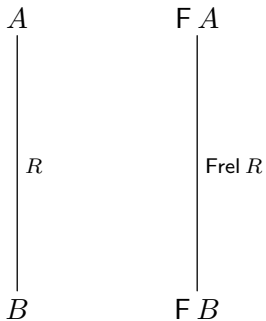
But how to show we can “stay in the game”?

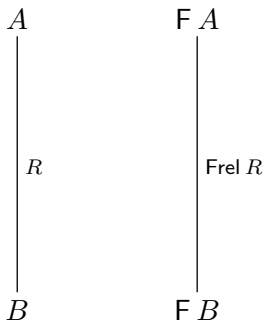
By exhibiting a “strategy”

Suffices: $j_{1,1} = j'_{1,1}, j_{1,2} = j'_{1,2}$
 $j_2 = j'_2$
 $j_3 = j'_3$

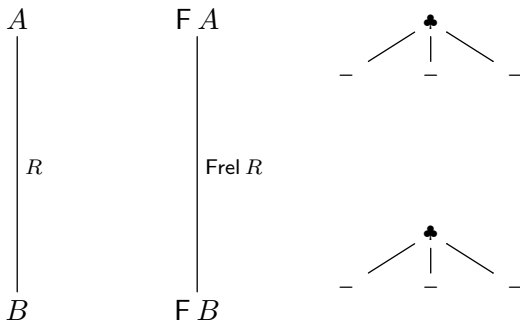






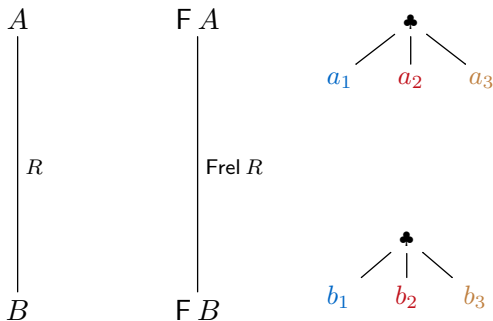


Two elements of $F A$ and $F B$ are related by $Frel R$ iff



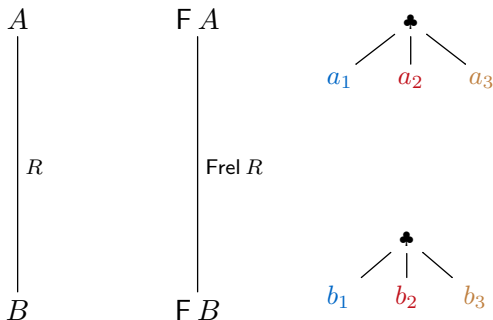
Two elements of $F A$ and $F B$ are related by $\text{Frel } R$ iff they have the same shape

But First: Relators



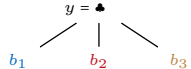
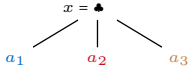
Two elements of $\text{F } A$ and $\text{F } B$ are related by $\text{Frel } R$ iff
they have the same shape
and the contents from corresponding slots are related by R

But First: Relators



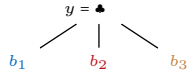
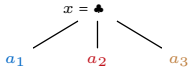
Two elements of $F A$ and $F B$ are related by $Frel R$ iff
they have the same shape
and the contents from corresponding slots are related by R
 $R a_1 b_1, R a_2 b_2, R a_3 b_3$

Relator Defined from Mapper



R relation between A and B , $x \in F A$, $y \in F B$

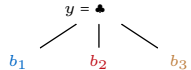
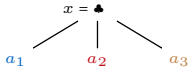
Relator Defined from Mapper



R relation between A and B , $x \in F A$, $y \in F B$

$\text{Frel } R \ x \ y$ defined as

Relator Defined from Mapper

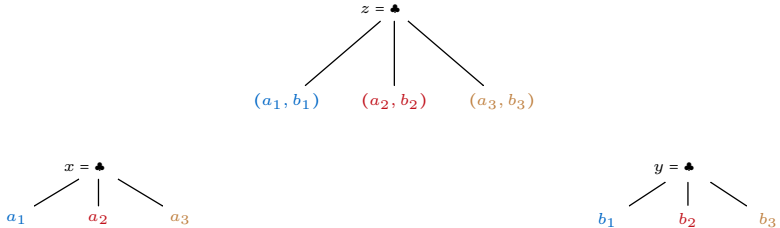


R relation between A and B , $x \in F A$, $y \in F B$

$\text{Frel } R \ x \ y$ defined as

$\exists z \in F \{(a, b) \mid R \ a \ b\}. \text{F } \pi_1 \ z = x \wedge \text{F } \pi_2 \ z = y$

Relator Defined from Mapper



R relation between A and B , $x \in F A$, $y \in F B$

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R relation between A and B

R relation between A and B
Frel R relation between F A and F B

R relation between A and B

Frel R relation between F A and F B

$$\text{F } A = \mathbb{N} \times A \quad \text{Frel } R (m, a) (n, b) \Leftrightarrow$$

R relation between A and B

Frel R relation between F A and F B

$$\text{F } A = \mathbb{N} \times A \quad \text{Frel } R (m, a) (n, b) \Leftrightarrow (m = n \wedge R a b)$$

R relation between A and B

Frel R relation between F A and F B

$$\text{F } A = \mathbb{N} \times A \quad \text{Frel } R (m, a) (n, b) \Leftrightarrow (m = n \wedge R a b)$$

$$\text{F } A = \mathbb{N} + A$$

R relation between A and B

$\text{Frel } R$ relation between $\text{F } A$ and $\text{F } B$

$$\text{F } A = \mathbb{N} \times A \quad \text{Frel } R (m, a) (n, b) \Leftrightarrow (m = n \wedge R a b)$$

$$\text{Frel } R u v \Leftrightarrow$$

$$\text{F } A = \mathbb{N} + A$$

R relation between A and B

Frel R relation between F A and F B

$$\text{F } A = \mathbb{N} \times A \quad \text{Frel } R (m, a) (n, b) \Leftrightarrow (m = n \wedge R a b)$$

$$\text{Frel } R u v \Leftrightarrow$$

$$\begin{aligned} \text{F } A = \mathbb{N} + A \quad & (\exists n. u = v = \text{Left } n) \vee \\ & (\exists a, b. u = \text{Right } a \wedge v = \text{Right } b \wedge R a b) \end{aligned}$$

R relation between A and B

$\text{Frel } R$ relation between $\text{F } A$ and $\text{F } B$

$$\text{F } A = \mathbb{N} \times A \quad \text{Frel } R (m, a) (n, b) \Leftrightarrow (m = n \wedge R a b)$$

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$$\text{F } A = \text{List } A$$

R relation between A and B

Frel R relation between F A and F B

$$\text{F } A = \mathbb{N} \times A \quad \text{Frel } R (m, a) (n, b) \Leftrightarrow (m = n \wedge R a b)$$

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$$\text{F } A = \text{List } A \quad \text{Frel } R (a_1 \cdot a_2 \cdot \dots \cdot a_m) (b_1 \cdot b_2 \cdot \dots \cdot b_n) \Leftrightarrow$$

R relation between A and B

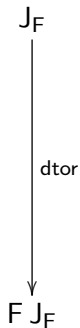
Frel R relation between F A and F B

$$\text{F } A = \mathbb{N} \times A \quad \text{Frel } R (m, a) (n, b) \Leftrightarrow (m = n \wedge R a b)$$

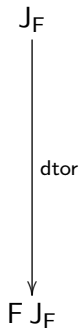
$$\begin{aligned} \text{Frel } R u v &\Leftrightarrow \\ \text{F } A = \mathbb{N} + A \quad &(\exists n. u = v = \text{Left } n) \vee \\ &(\exists a, b. u = \text{Right } a \wedge v = \text{Right } b \wedge R a b) \end{aligned}$$

$$\begin{aligned} \text{Frel } R (a_1 \cdot a_2 \cdot \dots \cdot a_m) (b_1 \cdot b_2 \cdot \dots \cdot b_n) &\Leftrightarrow \\ \text{F } A = \text{List } A \quad &m = n \wedge (\forall i. R a_i b_i) \end{aligned}$$

Back to the “Strategy” for Proving Equality

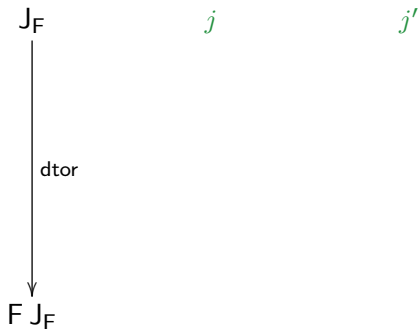


Back to the “Strategy” for Proving Equality



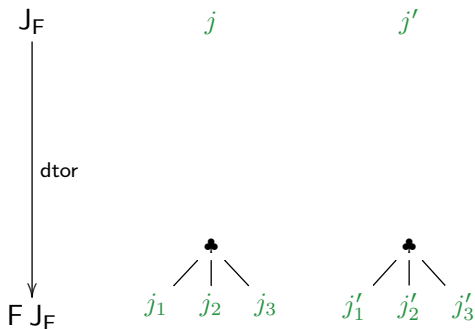
Given binary relation R on J_F

Back to the “Strategy” for Proving Equality



Given binary relation R on J_F
If $\forall j, j'. R j j'$

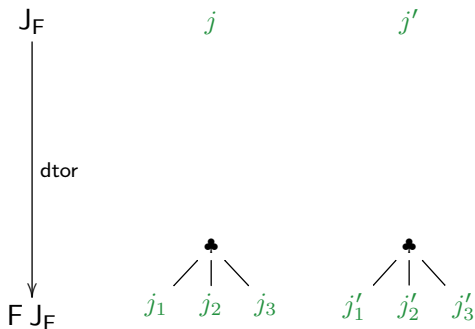
Back to the “Strategy” for Proving Equality



Given binary relation R on J_F

If $\forall j, j'. R j j' \implies \text{Frel } R (\text{dctor } j) (\text{dctor } j')$

Back to the “Strategy” for Proving Equality

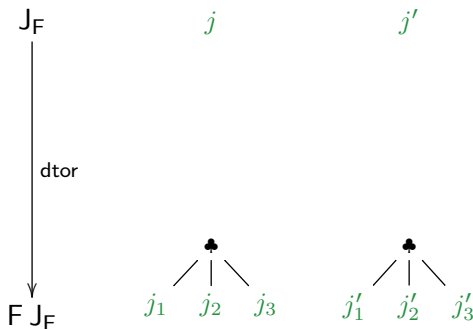


Given binary relation R on J_F

If $\forall j, j'. R j j' \implies \text{Frel } R (\text{dtr } j) (\text{dtr } j')$

Then R is included in equality

Back to the “Strategy” for Proving Equality

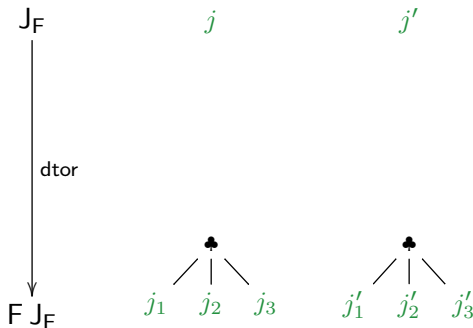


Given binary relation R on J_F

If $\forall j, j'. R j j' \implies \text{Frel } R (\text{dtor } j) (\text{dtor } j')$

Then R is included in equality $\forall j, j'. R j j' \implies j = j'$

Back to the “Strategy” for Proving Equality

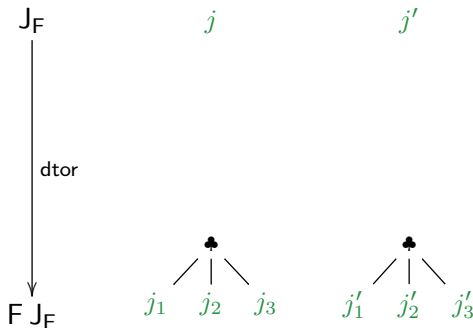


Given binary relation R on J_F

If $\forall j, j'. R j j' \implies \text{Frel } R (\text{dtr } j) (\text{dtr } j')$ R F-bisimulation

Then R is included in equality $\forall j, j'. R j j' \implies j = j'$

Back to the “Strategy” for Proving Equality



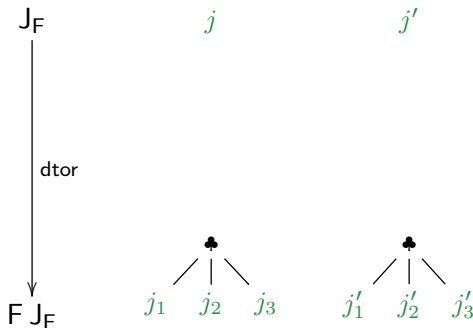
Summary: to prove $j = j'$,

Given binary relation R on J_F

If $\forall j, j'. R j j' \implies \text{Frel } R (\text{dtor } j) (\text{dtor } j')$ R F-bisimulation

Then R is included in equality $\forall j, j'. R j j' \implies j = j'$

Back to the “Strategy” for Proving Equality



Summary: to prove $j = j'$, find F-bisimulation R with $R j j'$

Given binary relation R on J_F

If $\forall j, j'. R j j' \implies \text{Frel } R (\text{dtr } j) (\text{dtr } j')$ R F-bisimulation

Then R is included in equality $\forall j, j'. R j j' \implies j = j'$

Given a natural functor F , $(J_F, \text{dctor} : J_F \rightarrow F J_F)$ satisfies:

Given a natural functor F , $(J_F, \text{dtr} : J_F \rightarrow F J_F)$ satisfies:

dtr [bijection](#)

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[Coiteration \(Final Coalgebra Property\)](#): For all $(A, s : A \rightarrow F A)$, there exists a unique function coiter_s with

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Given a natural functor F , $(J_F, \text{dtr} : J_F \rightarrow F J_F)$ satisfies:

dtr **bijection**

Coiteration (Final Coalgebra Property): For all $(A, s : A \rightarrow F A)$, there exists a unique function coiter_s with

$$\begin{array}{ccc} F A & \xrightarrow{F \text{coiter}_s} & F J_F \\ \uparrow s & & \uparrow \text{dtr} \\ A & \xrightarrow{\text{coiter}_s} & J_F \end{array}$$

Coinduction: Given any binary relation R on J_F

$$\frac{R \text{ is an } F\text{-bisimulation}}{\forall j, j'. R j j' \implies j = j'}$$

Given a natural functor F , $(J_F, \text{dtr} : J_F \rightarrow F J_F)$ satisfies:

dtr **bijection**

Coiteration (Final Coalgebra Property): For all $(A, s : A \rightarrow F A)$, there exists a unique function coiter_s with

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 \end{array}$$

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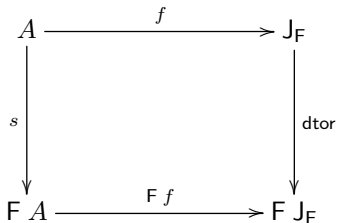
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So $J_F = \text{Stream}_B$

Example of Codatatype: Stream

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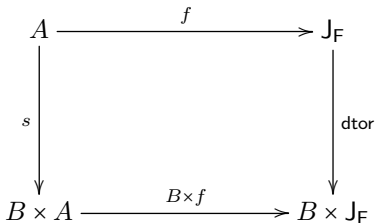
$$\begin{array}{ccc} A & \xrightarrow{f} & J_F \\ \downarrow s & & \downarrow \text{dtr} \\ B \times A & \xrightarrow{B \times f} & B \times J_F \end{array}$$

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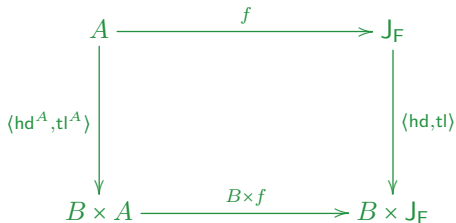


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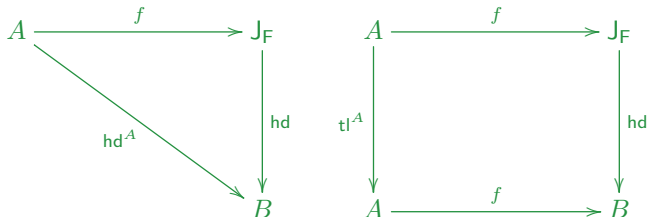


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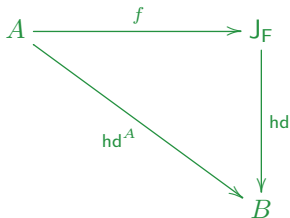


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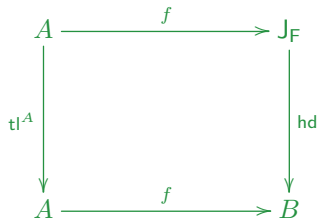
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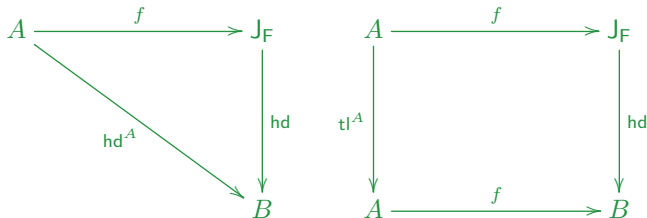
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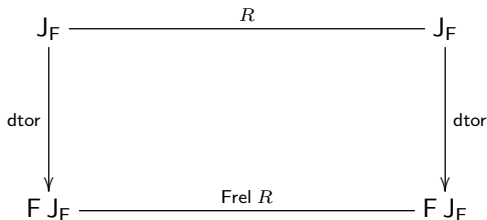


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Standard stream coiteration

Example of Codatatype: Stream

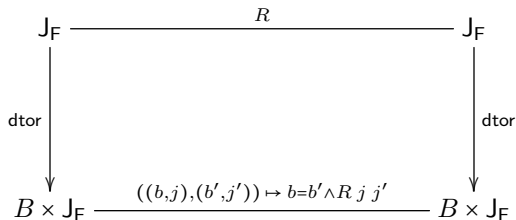
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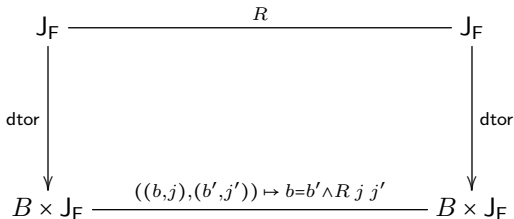


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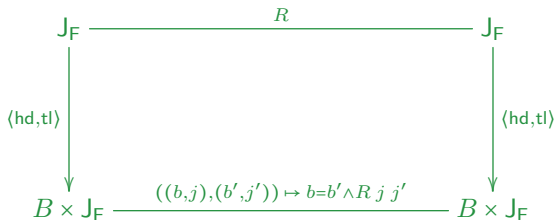
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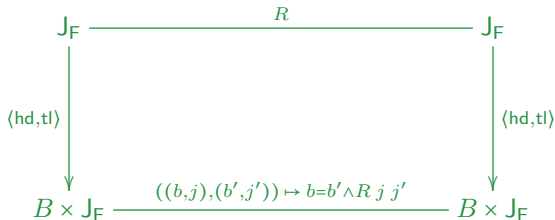
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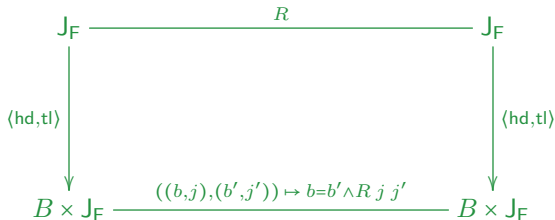


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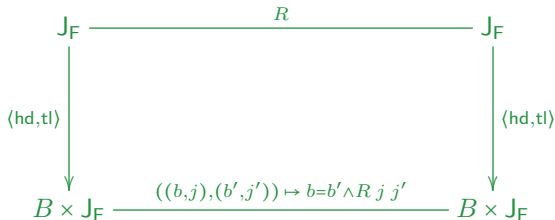


$$\frac{\forall j, j'. R j j' \implies \text{Frel } R (\text{hd } j, \text{tl } j) (\text{hd } j', \text{tl } j')}{\forall j, j'. R j j' \implies j = j'}$$

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Concrete Example of Coiteration

$even : \text{Stream}_B \rightarrow \text{Stream}_B$

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$zip : \text{Stream}_B \times \text{Stream}_B \rightarrow \text{Stream}_B$

$\text{hd } (zip\ (j_1, j_2)) = \text{hd } j_1$

$\text{tl } (zip\ (j_1, j_2)) = zip\ (j_2, \text{tl } j_1)$

$\text{zip}(\text{even } j, \text{odd } j) = j$

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$$\text{hd } (\text{zip } (\text{even } j, \text{odd } j)) = \text{hd } j$$

Incremental Proof by Structural Coinduction

$$\text{zip } (\text{even } j, \text{odd } j) = j$$

$$\text{tl } (\text{zip } (\text{even } j, \text{odd } j)) = \text{tl } j$$

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Bisimulation: $R \ j_1 \ j_2 \equiv$

$$j_1 = \text{zip } (\text{even } j_2, \text{odd } j_2) \vee$$

$$\exists j. j_1 = \text{zip } (\text{odd } j, \text{even } (\text{tl } (\text{tl } j))) \wedge j_2 = \text{tl } j$$

(Co)datatypes in Isabelle/HOL

Natural functors are a class of functors

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Nesting datatypes in codatatypes or vice versa

allows for modular specs of fancy data structures

The Isabelle system maintains a database of natural functors

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In the background:

- Isabelle parses this into a natural functor: $B \mapsto B \times A$
- Then infers high-level principles for (co)recursion and (co)induction for `Stream`
- Finally, `Stream` is itself registered as a natural functor

```
datatype List A = Nil | Cons A (List A)
```

`datatype List A = Nil | Cons A (List A)`

`codatatype LazyList A = Nil | Cons A (List A)`

`datatype List A = Nil | Cons A (List A)`

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`datatype BTree A = Leaf A | Node (BTree A) (BTree A)`

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finite-depths, finitely branching

A -labeled trees

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possibly infinite-depths, infinitely branching
A-labeled trees

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possibly infinite-depths, infinitely branching unordered
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`datatype BTree A = Leaf A | Node (BTree A) (BTree A)`

`codatatype Tree A = Node A (Countable_Set (Tree A))`
possibly infinite-depths, infinitely branching unordered
A-labeled trees

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`datatype BTree A = Leaf A | Node (BTree A) (BTree A)`

`codatatype Tree A = Node A (Setk (Tree A))`

possibly infinite-depths, infinitely branching unordered
A-labeled trees

`datatype List A = Nil | Cons A (List A)`

`codatatype LazyList A = Nil | Cons A (List A)`

`datatype BTree A = Leaf A | Node (BTree A) (BTree A)`

`codatatype Tree A = Node A (Multi_Set (Tree A))`

possibly infinite-depths, infinitely branching unordered
A-labeled trees

`datatype List A = Nil | Cons A (List A)`

`codatatype LazyList A = Nil | Cons A (List A)`

`datatype BTree A = Leaf A | Node (BTree A) (BTree A)`

`codatatype Tree A = Node A (Fuzzy_Set (Tree A))`

possibly infinite-depths, infinitely branching unordered
A-labeled trees

`datatype List A = Nil | Cons A (List A)`

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`codatatype Tree A = Node A (PLUG_YOUR_OWN (Tree A))`

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possibly infinite-depths, infinitely branching unordered
A-labeled trees

- Show a set operator to be a bounded natural functor (BNF)
- Register it
- Then Isabelle will allow nesting it in (co)datatype expressions

Datatypes and codatatypes have intuitive representations in terms of Shape and Content

They form a rich, extendable universe

The proof assistant Isabelle/HOL represents this universe and makes it available to the users

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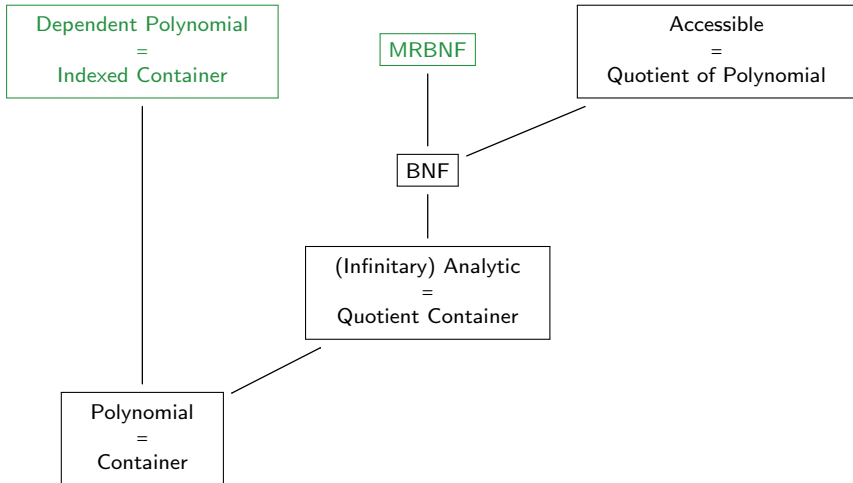
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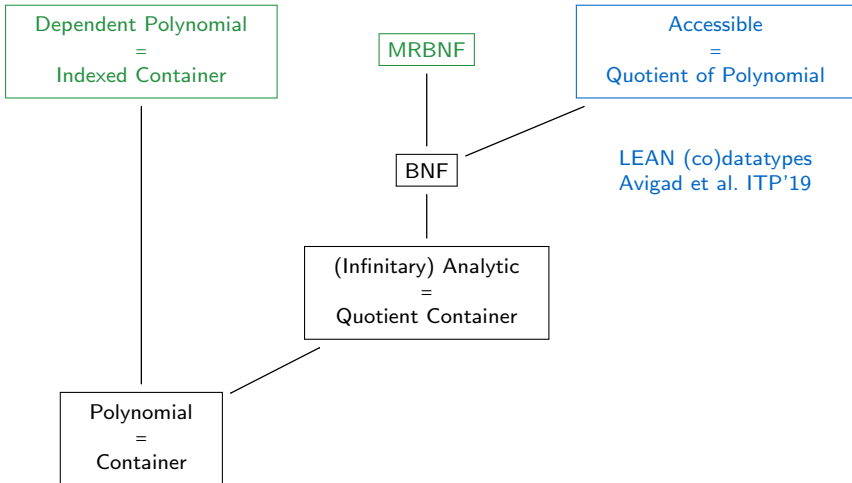
The abstract reality can be very concrete

Relevant Classes of Functors



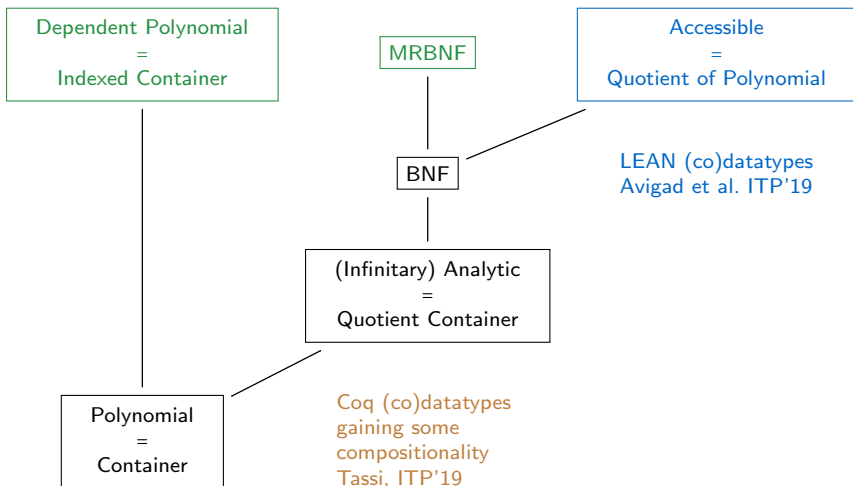
Relevant Classes of Functors

Supernominal
(syntax with bindings)



Relevant Classes of Functors

Supernominal
(syntax with bindings)



Much more references to relevant literature
will be provided from the course website.